



# A PHASE III STUDY OF ADJUVANT ENDOCRINE THERAPY WITH OR WITHOUT CHEMOTHERAPY FOR POSTMENOPAUSAL BREAST CANCER PATIENTS WHO RESPONDED TO NEOADJUVANT LETROZOLE: STUDY DESIGN OF THE NEW PRIMARY ENDOCRINE-THERAPY ORIGINATION STUDY[NEOS(N-SAS BC06)]



H. Iwata\*<sup>1</sup>, N. Masuda<sup>2</sup>, T. Toyama<sup>3</sup>, N. Taira<sup>4</sup>, Y. Yamamoto<sup>5</sup>, S. Saji<sup>6</sup>, M. Kashiwaba<sup>7</sup>, T. Yamaguchi<sup>8</sup>, Y. Ohashi<sup>9</sup>

<sup>1</sup>Breast Oncology, Aichi Cancer Center Hospital, Nagoya, <sup>2</sup>Surgery, NHO Osaka National Hospital, Osaka, <sup>3</sup>Breast and Endocrine Surgery, Nagoya City University Hospital, Nagoya, <sup>4</sup>Breast and Endocrine Surgery, Okayama University Hospital, Okayama, <sup>5</sup>Breast and Endocrine Surgery, Kumamoto University Hospital, Kumamoto, <sup>6</sup>Breast Oncology Unit, Tokyo Metropolitan Cancer and Infection Disease Center Komagome Hospital, Tokyo, <sup>7</sup>Surgery, Iwate Medical University, Morioka, <sup>8</sup>Clinical Trial Data Management, <sup>9</sup>Biostatistics, School of Public Health, The University of Tokyo, Tokyo, Japan

## Rationale

- At the 2007 International Conference on Primary Therapy of Early Breast Cancer in St. Gallen<sup>1)</sup>, endocrine therapy alone or chemotherapy followed by endocrine therapy was recommended as adjuvant therapy for highly endocrine-responsive, or incompletely endocrine-responsive and HER2-negative, intermediate-risk breast cancer.
- However, any other characteristics of patients who need addition of chemotherapy remain unknown.
- The TAILORx<sup>2)</sup> and MINDACT<sup>3)</sup> trials are ongoing and utilize gene expression profiling in order to answer this question (Fig. 1-2).
- Sufficient data have not been available about the long-term prognosis of patients receiving neoadjuvant endocrine therapy so that how the long-term treatment outcome differs between patients responding and not responding to neoadjuvant endocrine therapy and between those receiving and not receiving neoadjuvant endocrine therapy.
- In patients with hormone receptor-positive breast cancer, pathological complete response (pCR), a positive prognostic factor, is difficult to achieve even with intensive neoadjuvant chemotherapy<sup>4,5)</sup>.
- Letrozole is a more potent aromatase inhibitor and is more effective than tamoxifen in neoadjuvant and adjuvant settings.

## Study Design

- The primary aim of NEOS(N-SAS BC06) is to evaluate the necessity of using adjuvant chemotherapy for the treatment of postmenopausal breast cancer patients with node-negative, ER-positive and HER2-negative tumors who responded to neoadjuvant letrozole.
- This trial is a randomized, open-label, multicenter trial, which aims to recruit a total of 1,700 patients.
- Follow up is planned for 5 years from final recruitments.
- The trial design is shown in Figure 3.
- The eligibility criteria for this trial are as follows:

## Eligibility criteria for primary registration

### Inclusion criteria

- Postmenopausal women with histological confirmed primary invasive breast cancer.
- T1c-T2, N0, M0
- ER-positive
- HER2:  $\leq 2+$  and FISH negative at registration
- $\leq 75$  years at primary registration
- ECOG Performance Status: 0 or 1
- No previous treatments of breast cancer
- Adequate organ function
- Written informed consent

### Exclusion criteria

- Positive sentinel lymph node if biopsied before primary registration
- Synchronous or asynchronous bilateral breast cancer

## Eligibility criteria for secondary registration

### Inclusion criteria

- Clinical response to the neoadjuvant letrozole evaluated as CR, PR or SD
- Completion of any surgical resection of breast cancer
- The following lymph node status found after axillary lymph node dissection:
  - Patients with CR or PR : Node-negative, or 1-3 involved nodes.
  - Patients with SD : Node-negative

A patient who does not undergo axillary lymph node dissection because of negative sentinel lymph node biopsy will be considered as "axillary node negative".

### Exclusion criteria

- FISH positive for HER2 proved after primary registration

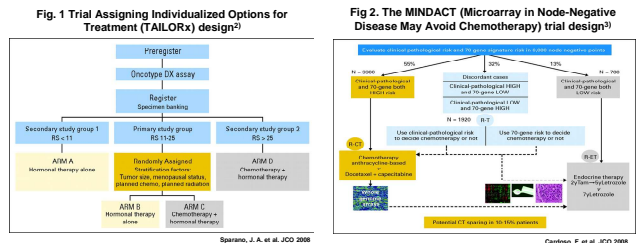
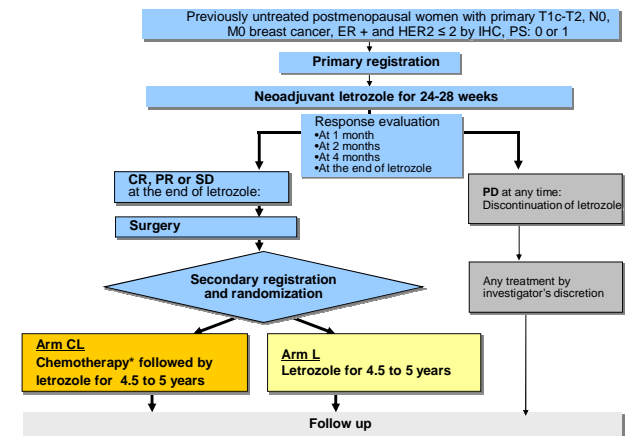


Fig 3. NEOS design



\*Standard chemotherapy regimen: CMF, AC/EC, TC, FAC/FEC, AC-T/EC-T, FEC-T or TAC

## Stratification factors for randomization

- Response to neoadjuvant letrozole CR or PR / SD
- PgR status at primary registration positive / negative
- Pathological node status positive / negative
- Age at primary registration  $< 60$  /  $60 \leq$
- Study center

## Trial endpoints

### Primary endpoint:

Disease-free Survival

### Secondary endpoints:

Overall Survival (OS), clinical response rates, pathological response, breast-conserving surgery rate, DFS/ OS in subgroups of patients according to clinical response (CR, PR, SD or PD), safety, HRQL, and cost-effectiveness

## Planned Sub-studies (in selected centers)

- Gene expression profiling
- Treatment induced-changes in biological markers (Ki-67, ER  $\beta$ , etc.)

## Future Aspect

- Response to neoadjuvant endocrine therapy may become a very useful tool in practice if it can help determine adjuvant systemic therapy for postmenopausal women with endocrine-responsive and intermediate-risk breast cancer.

## References

- Goldhirsch, A. et al. Ann Oncol ; 18:1133-44 2007
- Sparano, J. A. et al. J Clin Oncol; 26:721-728 2008
- Cardoso, F. et al. J Clin Oncol; 26:729-735 2008
- Kaufmann, M. et al. J Clin Oncol; 24:1940-9
- Ring, A. E. et al. Br J Cancer; 91:2012-7 2004